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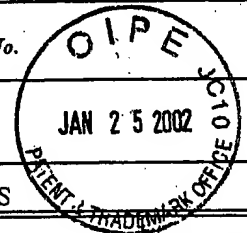
03CO #5

U.S. Department of Commerce		Patent and Trademark Office	
Commissioner for Patents Washington, DC 20231		Attorney Docket No. 2207/12122	
Application Number 09/965,234	Filing Date September 25, 2001	Examiner Not Assigned	Group Art Unit 2836
Application Title MULTI-STACK SURFACE MOUNT LIGHT EMITTING DIODES			Inventor(s) Jarett L. RINALDI et al.
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on			
Date of Deposit: <u>March 20, 2002</u>		Signature: <u>Barbara Vance</u> Barbara Vance	
RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL) FILING DATE GRANTED			
<p>On December 27, 2001, Formal Drawings were filed with the PTO and sent to the Official Draftsperson. These drawings were filed within the two-month time period as required by the Notice to File Missing Parts of Nonprovisional Application dated November 2, 2001.</p> <p>Enclosed is a copy of the Formal Drawings filed on December 27, 2001, showing the postcard date stamped by the PTO as being received on January 25, 2002. Also enclosed is a copy of the Notice of Incomplete Reply (Nonprovisional), mailed on March 13, 2002.</p> <p>The Commissioner is hereby authorized to charge Deposit Account 11-066 for any additional fees required or credit any overpayment in connection with this correspondence.</p> <p>A duplicate copy of this sheet is attached.</p>			
Date: <u>March 20, 2002</u>		By: <u>Shawn W. O'Dowd</u> Shawn W. O'Dowd (Reg. No. 34,687)	
KENYON & KENYON 333 West San Carlos Street, Suite 600 San Jose, CA 95110-2711			
Telephone: (408) 975-7500 Facsimile: (408) 975-7501			

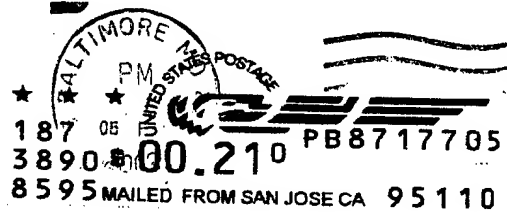
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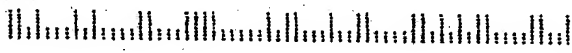
The date stamp of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the following item(s):			
<i>Docket No.</i>	2207/12122	<i>Serial No.</i>	09/965,234
		<i>Date of Mailing</i>	December 27, 2001
<i>By</i>	JW/byv/SJO	<i>Filing Date</i>	September 25, 2001
		<i>Express Mail No.</i>	
<i>Inventors/ Applicant</i>	Jarett L. RINALDI et al.		
<i>Title</i>	MULTI-STACK SURFACE MOUNT LIGHT EMITTING DIODES		
<i>Item No.</i>	<i>Description</i>	<i>No. of Pages</i>	
1.	Formal Drawings Transmittal (includes extra copy)	4	
2.	Formal Drawings (includes extra copy)	12	
3.			
4.			



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#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,234	09/25/2001	Jarett L. Rinaldi	2207/12122

CONFIRMATION NO. 7885

FORMALITIES LETTER



OC000000007626423

25693
KENYON & KENYON (SAN JOSE)
333 WEST SAN CARLOS ST.
SUITE 600
SAN JOSE, CA 95110

Date Mailed: 03/13/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 02/07/2002 to the Notice to File Missing Parts (Notice) mailed 11/02/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

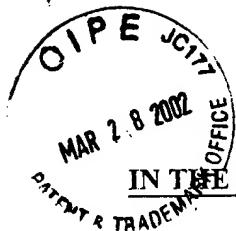
T. Amberber

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20020314-032600



#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Formal Drawing
L. Hicken
5-2402*

APPLICANT:	Jarett L. RINALDI et al.	§	GROUP ART	
		§	UNIT:	2836
SERIAL NO.:	09/965,234	§		
		§	EXAMINER:	TBA
FILED:	September 25, 2001	§		
		§	ATTORNEY	
FOR:	MULTI-STACK SURFACE	§	DOCKET NO.:	2207/12122
	MOUNT LIGHT EMITTING	§		
	DIODES	§	DATE:	December 27, 2001

TRANSMITTAL OF FORMAL DRAWINGS

Official Draftsperson
Patent and Trademark Office
Washington, D.C. 20231

Sir:

The six (6) pages of FORMAL drawings which accompany the present transmittal letter are to replace the originally submitted informal drawings which accompanied the subject patent application at the time of filing. A copy of the FORMAL drawings is also enclosed.

Each drawing is labeled on its back with the application serial number, name of the inventor, title of the patent application, attorney docket number, and page number.

CERTIFICATE OF MAILING (37 CFR 1.8 a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below in an envelope addressed to the: Commissioner for Patents, Official Draftsperson, Washington D.C. 20231.

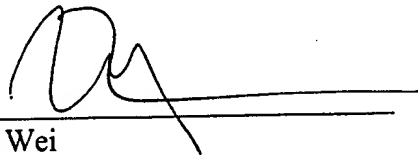
Date: December 27, 2001

Signature: Barbara Vance
Barbara Vance

Applicants believe there is no fee for replacing informal drawings on file with formal drawings. However, should a fee be required, the Patent and Trademark Office is hereby authorized to charge such fee to Deposit Account No. 11-0600.

This transmittal letter is submitted in duplicate for accounting purposes.

Respectfully submitted,



Date: December 27, 2001

Jerry Wei
(Reg. No. 43,247)
Attorney for Applicant

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